



State of Illinois
Illinois Department of Transportation

ethics workplace

in the
Seminar 2017

Tuesday, June 6, 2017

9:00 am – 3:00 pm

Crowne Plaza • Emerald & Ruby Rooms
3000 South Dirksen Parkway • Springfield, Illinois

Hosted by

Illinois Department of Transportation



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of Transportation



ethics workplace

in the

AGENDA

9:00AM

Welcome by

Director Jeff Heck

Illinois Department of Transportation

Opening remarks by

Secretary Randall S. Blankenhorn

Illinois Department of Transportation

William Barnes

Chief Counsel, Illinois Department of Transportation

David Risley

Ethics Officer, Illinois Department of Transportation

Presentation by

Michelle McVicker and Thomas Ullom

Principal Assistant Inspector General for Investigations
and Special Agent-in-Charge, Chicago
U.S. DOT Office of Inspector General

Presentation by

Colonel JoAnn D. Johnson

Division of Internal Investigation, Illinois State Police

Presentation by

Kyle Green

Coordinator, International and Special Programs,
Ford Motor Company Fund

12:00PM

LUNCH BREAK

(lunch is on your own)

Presentation by

Jeff Myers

Enterprise Data and Analytics Director, State Farm Insurance

Presentation by

Margaret A. Hickey

Executive Inspector General, Office of Executive Inspector General

Presentation by

Craig C. King

Chief Division Counsel, Federal Bureau of Investigation

2:45PM

CLOSING REMARKS



Randall S. Blankenhorn

Secretary, Illinois Department of Transportation

Randy Blankenhorn has served as Illinois Transportation Secretary under Gov. Bruce Rauner since 2015. A lifelong Illinois resident, Blankenhorn leads an agency of 10 offices that serve the transportation needs of the state across multiple modes, in rural, suburban and urban environments. He oversees a \$3 billion operating budget that supports a workforce of 4,800 employees, with annual oversight of more than \$3.4 billion in capital projects. During his time as the head of the agency, IDOT has embraced new approaches and developed new strategies to investing in infrastructure. He led the first reorganization in several decades to make IDOT more efficient, improve customer service, integrate safety throughout the agency and instill a multimodal approach in all planning and programming activities.



William Barnes

Chief Counsel, Illinois Department of Transportation

William Barnes assumed the role of IDOT's Chief Counsel in 2015, having served in a similar fashion for several State agencies, including the Department of Corrections. Mr. Barnes served as General Counsel and Ethics Officer for three state agencies including IDOT. Having received undergraduate and law degrees from Northwestern University, Mr. Barnes practiced at a large Chicago-based firm where he focused on complex litigation and regulatory compliance. Mr. Barnes is excited to work within IDOT and with outside stakeholders to improve Illinois infrastructure.



David Risley

Ethics Officer | Senior Assistant Chief Counsel, Illinois Department of Transportation

David Risley is the Ethics Officer of the Illinois Department of Transportation, where he is also a Senior Assistant Chief Counsel. Prior to joining IDOT in 2016, he served as an Assistant United States Attorney in the Central District of Illinois for over 30 years, prosecuting mostly large-scale organized crime and two major terrorism cases.

From November 2005 to August 2006, he served a U.S. Department of Justice detail as an Attorney-Advisor to the Iraqi High Tribunal in its investigations and prosecutions of crimes committed by Saddam Hussein and high-ranking members of his former regime, especially with regard to crimes against humanity and genocide committed against the Marsh Arabs in southern Iraq.

In 2009, he was awarded the Attorney General's Distinguished Service Award for his work as lead prosecutor in the terrorism case against Ali al-Marri, an al Qaeda sleeper agent captured in the United States shortly after the 9/11 terrorist attacks. In another case, he prosecuted Michael Finton, a homegrown terrorist who attempted to blow up the Federal Building in Springfield, Illinois.

In his last Department of Justice assignment before retiring from federal service, he served as the DOJ's regional diplomatic Attaché and legal advisor based in the U.S. Embassy in Cairo, Egypt, during the tumultuous period from September 2010 (four months before the 2011 revolution) to April 2015.

*Associate with men of good quality, if you esteem your own reputation;
for it is better to be alone than in bad company.*

-President George Washington



Michelle McVicker

Principal Assistant Inspector General for Investigations, U. S. DOT, Office of Inspector General

Ms. McVicker is the Principal Assistant Inspector General for Investigations. In this capacity, she oversees the OIG's investigative staff, which includes approximately 120 special agents, investigators, administrative assistants and attorneys. The investigative staff conducts a wide range of investigations relative to fraud waste and abuse, along with criminal safety cases within the Department, its programs and grantees. Over the past two decades, she has worked and supervised criminal, civil and administrative cases including those involving disadvantaged business enterprises, multimillion dollar construction contracts, aviation safety, commercial drivers' license testing, automobile safety defects, and pipelines and hazardous materials investigations.

Ms. McVicker has been with DOT/OIG since 1990, serving previously as the Special Agent-in-Charge of the Chicago Regional Office, Assistant Special Agent-in-Charge of the Los Angeles, CA sub-office and as a special agent. She has presented numerous Contract Fraud Prevention and Awareness and Hazmat Briefings to DOT stakeholders, along with Federal and State law enforcement agencies and industry officials. Ms. McVicker co-led the OIG- wide Mentoring and Job Shadow Programs from their inception. She has attended numerous supervisory, management, leadership, and coaching courses over the past several years. She has received multiple awards, such as the OIG's Meritorious Service and Leadership Awards.

Ms. McVicker received a B.A in Criminal Justice from Illinois State University, Normal, IL in 1990. She is married, has three children and lives in Vienna, VA.



Thomas J. Ullom

Special Agent in Charge – U. S. DOT, Office of Inspector General

Tom began his career in federal law enforcement in 1993. He has worked with the U.S. Marshal's Service as a Deputy U.S. Marshal in the Chicago Field Office, as a Special Agent with the U.S. Drug Enforcement Administration in the Detroit Field Division, and as Special Agent with the U.S. Department of Education, Office of Inspector General, Chicago Field Office. In 2011, SA Ullom was promoted to the position of Assistant Special Agent-In-Charge for the U.S. Department of Transportation, Office of Inspector General, and Chicago Regional Investigations. In 2015, he was promoted to the position of Special Agent-In-Charge.

Throughout his law enforcement career, Tom has worked investigations related to domestic and international drug trafficking, fugitive apprehension, and various complex fraud schemes. In his capacity as Special Agent-In-Charge, he supervises a staff of Special Agents and Assistant Special Agents-In-Charge who conduct various fraud and safety investigations related to the US DOT in 11 states. Tom grew up in Cleveland, OH, and graduated from Bowling Green State University with a BS in Criminal Justice.

A long habit of not thinking a thing wrong gives it a superficial appearance of being right.

-Thomas Paine



Colonel JoAnn D. Johnson

Division of Internal Investigation, Illinois State Police

Colonel JoAnn D. Johnson began her law enforcement career in 1989 as a Trooper with the Illinois State Police. In her 28 years with the department, she has worked patrol, general criminal investigations, and narcotics investigations in the Chicagoland area where she was promoted to the rank of Sergeant. JoAnn has served under the Director's Office as an Investigative Sergeant in the department's Office of Equal Employment Opportunity before being promoted to the rank of Master Sergeant, Investigative Squad leader in that office. In 2008, she was promoted to the rank of Lieutenant, Division of Operations District 15 Patrol.

In 2010, JoAnn relocated to Springfield, Illinois and accepted a position as Lieutenant, Special Projects Officer at the Illinois State Police Training Academy, ultimately being assigned as the Educational Services Bureau Chief. In that role, she developed, and facilitated training curriculum to Illinois State Police sworn, cadets and Local law enforcement recruits. This training included Ethics Training, Illinois State Police History and Structure, The Prohibition of Biased-Based Policing, Procedural Justice, Integrated Strategic Performance Evaluations, and Instructor Development Training. In 2012, she accepted a position under the Director's Office as Chief of the Office of Equal Employment Opportunity where she was promoted to the rank of Captain.

In 2013, JoAnn was appointed to Lieutenant Colonel in the Division of Internal Investigation where she oversees all internal investigations of misconduct amongst Illinois State Police sworn officers and civilian employees as well as investigations of alleged misconduct against state government employees. She was subsequently promoted to Colonel of the Division of Internal Investigation in November of 2016 making her the first African-American female to be promoted to the rank of Colonel in the history of the Illinois State Police.

JoAnn is a graduate of the Northwestern School of Police Staff and Command, Class #282, and the Illinois Law Enforcement Training and Standards Board Executive Institute Police Executive Role in the 21st Century. She holds a Bachelor's of Science Degree in Administration of Justice from Southern Illinois University, Carbondale, Illinois, and a Master's of Art's degree in Organizational Leadership from Lewis University, Romeoville, Illinois.



Kyle Green

Coordinator, International and Special Programs Ford Motor Company Fund | Ford Driving Skills for Life

Kyle Green joined Ford Motor Company Fund – the philanthropic arm of Ford Motor Company in August 2011. His primary role is managing Ford Driving Skills for Life (Ford DSFL), the award-winning safe driving program focused on helping teenage drivers develop critical skills behind the wheel. Kyle's main area of focus is on the global expansion and management of the Ford DSFL program, which is currently operating in 35 countries outside of the United States. He also leads teams in Ford Fund that execute community programs in the Detroit Region and is involved in strategic planning around sustainability and community investments.

Prior to his role with Ford DSFL, Kyle spent 11 years coordinating community, consumer, educational and experiential events. Kyle is a graduate of Eastern Michigan University and holds a Bachelor of Science degree in Communication and Marketing. He currently lives in Rochester Hills, Michigan with his wife and two children.

The time is always right to do what is right.

-Martin Luther King, Jr.



Jeff Myers

Director , State Farm Insurance

Jeff Myers is a Director in State Farm's Enterprise Data & Analytics department. He is responsible for the enterprise's analytic pipeline, State Farm's Data Science Center of Excellence, and co-managing State Farm's analytic portfolio. Prior to joining State Farm, Jeff was a "quant" for a Chicago area investment firm.



Margaret A. Hickey

Acting Executive Inspector General Office of the Executive Inspector General

Maggie Hickey is the Acting Executive Inspector General for the Agencies of the Illinois Governor. She was nominated by Gov. Bruce Rauner in 2015. Before coming to the OEIG, she served the U.S. Attorney's Office for the Northern District of Illinois for over 10 years. From 2010-2015, she was the Executive Assistant United States Attorney (EAUSA), overseeing a staff of approximately 300 employees. Prior to her supervisory role, Maggie served as an Assistant United States Attorney (AUSA) in the Criminal Division, Financial Crimes and Special Prosecution Section where she investigated and prosecuted a wide array of white collar crimes, including health care fraud, mortgage fraud, and bankruptcy fraud. Maggie tried multiple cases to verdict and she also briefed and argued many appeals before the U.S. Court of Appeals.

Previously, she served as Chief of Staff to United States Senator Peter Fitzgerald. She began her career with the United States Senate serving as the Investigative Counsel for the Committee on Government Affairs. Prior to her service with the United States Senate, she was an Assistant United States Attorney in the Criminal Division for the Southern District of West Virginia. She began her legal career as an associate with the firm now called Reed Smith in Los Angeles, California. She currently serves on the board of the Constitutional Rights Foundation, Chicago.



Craig C. King

Chief Division Counsel (CDC), Federal Bureau of Investigation, Springfield Field Office

CDC King currently serves as Chief Division Counsel, the primary legal advisor for the Springfield Division of the FBI. He is also the Ethics Officer for the Springfield Division of the FBI. He has been CDC for the last 3 years, having been promoted from Associate Division Counsel, in the Los Angeles Field Office. CDC King served 2 years in LA working on a variety of cases including criminal and national security matters. King, prior to transferring to LA, was a legal instructor for 3 years at the FBI Academy in Quantico, Virginia. He taught legal courses for new agents, new analysts, other new FBI employees and the National Academy. From 2003 to 2007, King was Chief Counsel for FBI operations at U.S. Naval Base, Guantanamo Bay Cuba. King was a member of the FBI Counterterrorism Fly Away Team – a rapid deployment counter terror team from 2002-2003. He also served in the National Security Law Branch and the Cincinnati Field Office. Prior to the FBI, King worked as an AUSA in the Southern District of Ohio and as an Assistant Prosecuting Attorney in Greene County, Ohio. King is a graduate of the University of Dayton, School Of Law. He is a veteran of the U.S. Army, 18th Airborne Corps and deployed for Operations Desert Shield/Storm and Operation Just Cause.

Glass, china, and reputation are easily cracked, and never mended well.

-Benjamin Franklin

NOTES

Always do right—this will gratify some and astonish the rest.

-Mark Twain

HOW TO REPORT TRANSPORTATION FRAUD

If you are aware of or suspect the commission of fraud on transportation related projects funded by the Illinois Department of Transportation including fraud pertaining to the Disadvantaged Business Enterprise (DBE) Program, contact IDOT's Bureau of Investigation & Compliance. Allegations may be reported by DOT employees, contractors, or the general public. BIC's Hotline is open 24 hours a day. All information will be kept strictly confidential.

REPORT TO IDOT

IDOT Fraud Hotline

Call: **1-800-455-5008** (toll free)

Email to: **DOT.FraudAwareness@illinois.gov**

Mail To: IDOT Bureau of Investigation & Compliance,
2300 South Dirksen Parkway, Springfield, IL 62764

REPORT TO THE FEDERAL GOVERNMENT

The U.S. DOT Office of Inspector General (OIG) also maintains a Hotline to facilitate the reporting of allegations of fraud, waste, abuse, or mismanagement in U.S. Department of Transportation (DOT) programs or operations. You may report such allegations through the contact information listed below. Allegations may be reported by DOT employees, contractors, or the general public. OIG's Hotline is open 24 hours a day, seven days a week and is operated by a third party contractor.

www.oig.dot.gov/hotline

Call: **1-800-424-9071** (toll free)

Email to: **hotline@oig.dot.gov**

Mail to: DOT Inspector General, 1200 New Jersey Ave SE,
West Bldg 7th Floor, Washington DC, 20590

REPORT TO THE OFFICE OF THE EXECUTIVE INSPECTOR GENERAL

Complaints regarding allegations of State employee misconduct, fraud, waste, etc., can be made to the Office of Executive Inspector General for the Agencies of the Illinois Governor by anyone. However, the complaint must relate to the official conduct of a State employee of a State agency, board, commission, or State public university under the jurisdiction of the OEIG, or a person or entity (such as a vendor of the State) doing business with an employee or State agency under the jurisdiction of the OEIG.

- Visit www2.illinois.gov/oeig/Pages/FileaComplaint.aspx
- Call the OEIG's toll-free hotline at 866.814.1113
- Contact the Office via telecommunications device for the disabled (TTY) at 888.261.2734
- Mail a completed complaint form to:
Office of Executive Inspector General for the Agencies of the Illinois Governor
Attention: Complaint Division
32 West Randolph, Suite 1900
Chicago, Illinois 60601
- Fax a completed Complaint Form to 312.814.5479
- Visit the OEIG's Springfield or Chicago offices to obtain, complete and submit a complaint form

